

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Application of: R. Karanam et. al.

U.S. Serial No.: 09/681,425

Filed: March 30, 2001

Customer No.: 006152

Examiner: Unknown

Group Art Unit: 2857-C 17 2001

Docket No.: 03PM-9035

Paper No.: TC 2800 MAIL ROOM

For: "Method And Apparatus For Monitoring Electrical Usage"

The Honorable Commissioner  
of Patents & Trademarks  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

Sir:

- ☒ 1 Pursuant to 37 CFR 1.97(b) [within 3 months of filing or prior to 1st Office Action]
- ☐ 2 Certification Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
- ☐ 3 Fee Payment Pursuant to 37 CFR 1.97(c) [before Final Office Action or Allowance]
- ☐ 4 Petition, Certification & Petition Fee Payment Pursuant to 37 CFR 1.97(d) [before issue fee payment]

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 1.98:

- ☒ 5 A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier]; and
- ☐ 8 The required certification made in item 11 below; or
- ☐ 9 The \$230.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☐ 10 37 CFR §1.97(d): [before issue fee payment]; and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is authorized in item 14 below. **(Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information; e.g. "Allowed Files, Batch \_\_\_\_, Date of Allowance \_\_\_\_\_", and**
- b) The required Certification is stated in item 11 below.

☐ 11 Certification

☐ 12 Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

☒ 14 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to **Deposit Account No. 07-0870**. An original and two (2) copies of this document are enclosed.

Respectfully submitted,



Dave S. Christensen, Agent for Applicants

Registration No.: 40,955

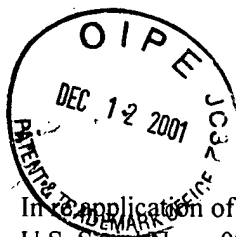
GENERAL ELECTRIC COMPANY

41 Woodford Avenue

Plainville, CT 06062

Tel: (860) 747-7141

Date: December 3, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GP2857

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In application of: R. Karanam et. al.  
U.S. Serial No.: 09/681,425  
Filed: March 30, 2001  
Customer No.: 006152

Examiner: Unknown  
Group Art. Unit: 2857  
Docket No.: 03PM-9035  
Paper No.: ROOM

For: "Method And Apparatus For Monitoring Electrical Usage"

The Honorable Commissioner  
of Patents & Trademarks  
Washington, D.C. 20231

Sir:

Transmitted herewith is PTO 1449 with a List of Prior Art Cited by Applicant in the above-identified application.

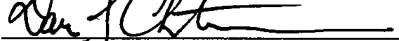
☒ No additional fee is required.

☐ The fee has been calculated as shown below and may be charged to PTO Deposit Account No. 07-0870:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total	*	Minus 20	**	= 0
Independent	*	Minus 1	***	= 0
OTHER (specify)				

Rate	Audit Fee
X 18	\$ 0
X 78	\$ 0
+ 0	\$ 0
Total	\$ 0

**NOTE: The Commissioner is hereby authorized to charge any deficiency in fees of any kind related to the attached filings to PTO Deposit Account No. 07-0870.**

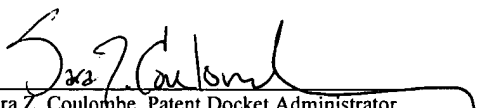
By   
Dave S. Christensen  
Registration No: 40,955  
Agent For Applicants  
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DATE: December 4, 2001

**Certificate of Mailing (37 CFR 1.8a)**

hereby certify that this paper (along with any paper referred to as being attached or enclosed relating to Serial No. 09/681,425 / Docket No. 03PM-9035) being deposited with the United States Postal Service on December 4, 2001 with sufficient postage as first class mail in an envelope addressed to:

Box Patent Application  
Assistant Commissioner of Patents  
Washington, D.C. 20231

  
Sara Z. Coulombe, Patent Docket Administrator  
General Electric Company  
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